



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

**Timothy D. Schubert, Clemente G. Garcia
and Steve Karakas**

Application No. 10/004,421

Filed: October 23, 2001

For: **MULTI-FACETED, TIER DRIVEN
ELECTRONIC COMMERCE FACILITATOR**

Attorney Docket No. 26040/2:4

Date: July 22, 2002

Group Art Unit 2161

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ON:

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TRANSMITTAL LETTER

TO THE COMMISSIONER FOR PATENTS:

Enclosed for filing in the above-referenced application are the following:

- ☒ Information Disclosure Statement
- ☒ Form PTO-1449 (with copies of cited references)
- ☒ Return receipt postcard

The Commissioner is hereby authorized to charge any fees which may be required in connection with filing of these papers, or credit overpayment, to Account No. 19-4455.

Respectfully submitted,

**Timothy D. Schubert, Clemente G. Garcia
and Steve Karakas**

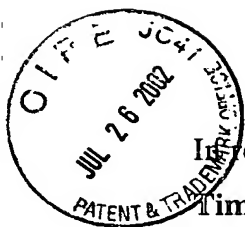
By:

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor application of:

Timothy D. Schubert, Clemente G. Garcia
and Steve Karakas

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INFORMATION DISCLOSURE STATEMENT

TO THE COMMISSIONER FOR PATENTS:

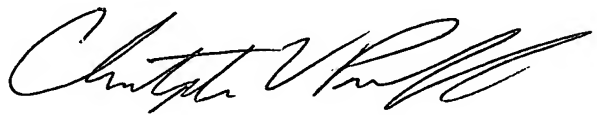
1. Pursuant to the duty of disclosure, applicants present for the Examiner's consideration the documents listed on the accompanying Form PTO-1449. Copies of the documents are enclosed.
2. This information disclosure statement is being submitted:
 - a. ☒ Within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination under 37 CFR 1.114. (No statement under 37 CFR 1.97(e) is required.)
 - b. ☐ After the period set forth in paragraph 2a, but before the mailing date of either a final action, a notice of allowance, or an action that otherwise closes prosecution in the application. (Check box i. or ii.)
 - i. ☐ A \$180.00 information disclosure statement submission fee set forth in 37 CFR 1.17(p) is enclosed.
 - ii. ☐ A statement specified by 37 CFR 1.97(e) is set forth below.
 - c. ☐ After the mailing date of a final action or notice of allowance and on or before payment of an issue fee. A statement specified by 37 CFR 1.97(e) is set forth below. Enclosed is a \$180.00 information disclosure statement processing fee set forth in 37 CFR 1.17(p).

3. ☐ The attorney or agent signing below hereby states that:
- ☐ each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
 - ☐ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.
4. ☐ Applicants set forth below concise explanations of the relevance of each document not in the English language and/or selected documents in the English language.

Respectfully submitted,

Timothy D. Schubert, Clemente G. Garcia
and Steve Karakas

By: _____


Christopher V. Panoff
Registration No. 46,456

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FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
26040/2:4APPLICATION NO.
10/004,421INFORMATION DISCLOSURE CITATION
(Uses several sheets if necessary)APPLICANTS: Timothy D. Schubert,
Clemente G. Garcia and Steve KarakasFILING DATE
October 23, 2001GROUP
2161

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6	0	6	1	6	6	5	05/09/00	Bahreman	705	40	
	AB	6	0	5	8	4	1	7	05/02/00	Hess, <i>et al.</i>	709	219	
	AC	6	0	5	8	3	7	9	05/02/00	Odom, <i>et al.</i>	705	37	
	AD	6	0	5	5	5	1	3	04/25/00	Katz, <i>et al.</i>	705	26	
	AE	6	0	4	4	3	6	3	03/28/00	Mori, <i>et al.</i>	705	37	
	AF	6	0	4	1	3	0	8	03/21/00	Walker, <i>et al.</i>	705	14	
	AG	6	0	2	9	1	4	1	02/22/00	Bezos, <i>et al.</i>	705	27	
	AH	6	0	2	6	3	7	4	02/15/00	Chess	705	26	
	AI	6	0	2	3	6	8	5	02/08/00	Brett, <i>et al.</i>	705	37	
	AJ	6	0	2	3	6	8	6	02/08/00	Brown	705	37	
	AK	6	0	1	6	5	0	4	01/18/00	Arnold, <i>et al.</i>	709	200	
	AL	6	0	1	2	0	4	5	01/04/00	Barzilai, <i>et al.</i>	705	37	
	AM	5	9	9	5	6	2	6	11/30/99	Nishioka, <i>et al.</i>	380	25	
	AN	5	9	6	0	4	1	1	09/28/99	Hartman, <i>et al.</i>	705	26	
	AO	5	9	3	0	4	7	4	07/27/99	Dunworth, <i>et al.</i>	395	200.47	
	AP	5	9	0	9	4	9	2	06/01/99	Payne, <i>et al.</i>	380	24	
	AR	5	8	9	7	6	2	0	04/27/99	Walker, <i>et al.</i>	705	5	
	AS	5	8	9	0	1	3	8	03/30/99	Godin, <i>et al.</i>	705	26	
	AT	5	8	2	6	2	4	4	10/20/98	Huberman	705	37	
	AU	5	8	3	5	8	9	6	11/10/98	Fisher, <i>et al.</i>	705	37	
	AV	5	7	9	4	2	1	9	08/11/98	Brown	705	37	

EXAMINER

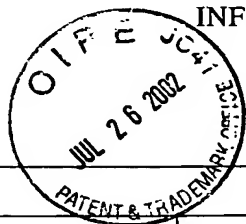
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

AW	Upside Down Auction, eWanted, published on the Internet at <http://www.ewanted.com>. Copyright 1998-2000 eWanted.com Corporation. Published/available June, 2000. •
AX	"Business to Business; ShopOnLine," Bid.Com home page, published on the Internet at <http://www.bid.com/>. Copyright 1999 Bid.Com International Inc. Published/available June, 2000. •
AY	VerticalNet (Leading Business to E-Business), published on the Internet at <http://www.verticalnet.com/solutions/auctions.asp>. Copyright 1996-2000, VerticalNet, Inc. Published/available June, 2000. •
AZ	FreeMarkets, Web page, published on the Internet at <wysiwyg://35/http://www.freemarkets.com>. Copyright 1999-2000 Free Markets, Inc. Published/available June, 2000. •
BA	E.piphany (Software for the Customer Economy), home page, published on the Internet at <wysiwyg://56/http://www.epiphany.com/index.html>. Copyright 1999 E.piphany, Inc. Published/available June, 2000. •
BB	Firepond Web page, published on the Internet at <wysiwyg://64/http://www.firepond.com/>. Published/available June, 2000. •
BC	Mercata (Buying Power to the People), Web page, published on the Internet at <http://www.mercata.com/cgi-bin/mer>. Copyright 1999, 2000 Mercata, Inc. Published/available June, 2000. •
BD	TradeOut.com (The World's Leading Online Surplus Marketplace), home page, published on the Internet at <http://www.tradeout.com/>. Copyright 1999-2000 by TradeOut.com. Published/available June, 2000. •
BE	Commerce One (Many Markets, One Source), Overview, published on the Internet at <http://www.commerceone.com/solutions/default.html>. Copyright 2000 Commerce One, Inc. Published/available May, 2000. •
BF	"Commerce One Rides Internet Wave—Supply-Chain Software Provider Benefits from Growing Demand for Internet Exchanges," <i>InternetWeek</i> , May 15, 2000, Issue 813, published by CMP TechWeb on the Internet at <http://www.techweb.com/se/directlink.cgi?INW20000515S0024>. Copyright 2000 CMP Media, Inc. Published/available May, 2000. •
BG	Commerce One (Many Markets, One Source), Web page, published on the Internet at <http://www.commerceone.com/solutions/suppliers_faq.html>. Published/available May, 2000. •
BH	Ariba: B2B Commerce Platform; Overview, published on the Internet at <http://64.209.162.252/>. Copyright 2000 Ariba, Inc. Published/available May, 2000. •
BI	"Reliable and Scalable Compaq ProLiant Help Priceline.com Afford Price of Success," May 30, 2000, published by Compaq (Solution Stories) on the Internet at <wysiwyg://100/http://www.compaq.com/solutions/stories/priceline1.html>. Published/available May, 2000. •
BJ	Selectica Navigation, Web page, published on the Internet at <http://www.selectica.com/home_main/>. Published/available May, 2000. •
BK	eBay Buyer Guide, published on the Internet at <http://pages.ebay.com/help/buyerguide/bidding-type.html>. Copyright 1995-2000 by eBay, Inc. Published/available May, 2000. •
BL	eBay Registration Confirmation, published on the Internet at <http://cgi4.ebay.com/aw-cgi/eBayISAPI.dll?>. Copyright 1995-2000 by eBay, Inc. Published/available May, 2000. •
BM	eBay, Your Personal Trading Company, Web page, published on the Internet at <http://www.ebay.com/>. Copyright 1995-2000 by eBay, Inc. Published/available May, 2000. •
BN	eBay Power Trading, published on the Internet at <http://pages.ebay.com/powertrading/>. Copyright 1995-2000 by eBay, Inc. Published/available May, 2000. •

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